

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Machine-Readable Optical Disc with Reading-Inhibit Agent the specification of which:

- ☒ is attached hereto.
- ☐ was filed on _____ as Application Serial No. _____.
- ☐ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)Priority Claimed

| (Number) | (Country) | (Day/Month/Year Filed) | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|------------|-----------|------------------------|------------------------------|-----------------------------|
| 60/026,390 | | September 16, 1996 | | |

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

| (Application Serial No.) | (Filing Date) |
|--------------------------|--------------------|
| 60/026,390 | September 16, 1996 |

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

| (Application Serial No.) | (Filing Date) | (Status-patented, pending, abandoned) |
|--------------------------|---------------|---------------------------------------|
| | | None |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature

Full name of sole or first inventor

Residence

Citizenship

Post Office Address

Philip E. Rollhaus

Date: _____

Philip E. Rollhaus

Chicago, Illinois

U. S. A.

Inventor's Signature

Full name of second joint inventor, if any

Residence

Citizenship

Post Office Address

John R. Powell

Date: _____

Arlington, Massachusetts

BRINKS HOFER GILSON & LIONE

P.O. Box 10395

Chicago, IL 60610

(312) 321-4200

Inventor's Signature

Full name of third joint inventor

Residence

Citizenship

Post Office Address

Date: _____

Eric J. Carlson

Sudbury, Massachusetts

Inventor's Signature

Full name of fourth joint inventor

Residence

Citizenship

Post Office Address

Date: _____

Daniel J. Ehntholdt

Hudson, Massachusetts

Inventor's Signature

Full name of fifth joint inventor

Residence

Citizenship

Post Office Address

Date: _____

Irwin C. Winkler

Arlington, Massachusetts

Inventor's Signature

Full name of sixth joint inventor

Residence

Citizenship

Post Office Address

Date: _____

Christopher J. Marmo

Nashua, New Hampshire

Inventor's Signature

Full name of seventh joint inventor

Residence

Citizenship

Post Office Address

Date: _____

James R. Valentine

rev. Feb.-97

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DECLARATION FOR PATENT APPLICATION

Case No. 112/152

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Machine-Readable Optical Disc with Reading-Inhibit Agent the specification of which:

- ☒ is attached hereto.
☐ was filed on _____ as Application Serial No. _____.
☐ and was amended on _____ (if applicable).

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| (Application Serial No.) | (Filing Date) | (Status-patented, pending, abandoned) |
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Inventor's Signature

Full name of sole or first inventor

Residence

Citizenship

Post Office Address

Philip E. Rollhaus

Chicago, Illinois

Date:

Inventor's Signature

Full name of second joint inventor, if any

Residence

Citizenship

Post Office Address

John R. Powell

Arlington, Massachusetts

Date:

July 22, 1997

61 James St 02174

BRINKS HOFER GILSON & LIONE

P.O. Box 10395

Chicago, IL 60610

(312) 321-4200

Inventor's Signature
 Full name of third joint inventor
 Residence
 Citizenship
 Post Office Address

Eric J. Carlson
 Eric J. Carlson
 Sudbury, Massachusetts
 8 Harvard Drive
 01776

Date: 7/22/97

Inventor's Signature
 Full name of fourth joint inventor
 Residence
 Citizenship
 Post Office Address

Daniel J. Ehntholt
 Daniel J. Ehntholt Ehntholt
 Hudson, Massachusetts
 17 OLD NORTH ROAD

Date: 7/22/97

Inventor's Signature
 Full name of fifth joint inventor
 Residence
 Citizenship
 Post Office Address

Irwin C. Winkler
 Irwin C. Winkler
 Arlington, Massachusetts
 24 Gould Rd

Date: 7/18/97

Inventor's Signature
 Full name of sixth joint inventor
 Residence
 Citizenship
 Post Office Address

Christopher J. Marmo
 Christopher J. Marmo
 Nashua, New Hampshire
 847 Liberty Sq. Rd
 Buxton, MA 01719

Date: 7/18/97

Inventor's Signature
 Full name of seventh joint inventor
 Residence
 Citizenship
 Post Office Address

James R. Valentine
 James R. Valentine
 166 Woburn St.
 Reading, MA 01867

Date: 7/22/97

rev. Feb.-97

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Rollhaus et al.
Serial or Patent No.: 08/902,844
Filed or Issued: July 30, 1997
For: Machine Readable Optical Disk with Reading Inhibit Agent
Attorney Docket No.: 903.0001 USU

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the
concern identified below:

NAME OF CONCERN: SpectraDisc Corporation
ADDRESS OF CONCERN: Suite 101
155 South Main Street
Providence, Rhode Island 02903

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

552201 "08/902,844"

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled

Machine Readable Optical Disk with Reading Inhibit Agent

described in

☐ the specification filed herewith

☒ Application, Serial No.: 08/902,844, filed July 30, 1997.

☐ Patent No.: _____, issued: _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

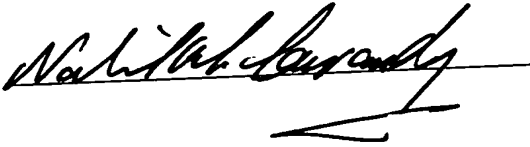
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:
TITLE OF PERSON OTHER THAN OWNER:
ADDRESS OF PERSON SIGNING:

Nabil Lawandy
President and CEO
c/o SpectraDisc Corporation
Suite 101
155 South Main Street
Providence, Rhode Island 02903

SIGNATURE:



DATE: September 28, 1999